

25 INDEPENDENCE BOULEVARD
WARREN, NEW JERSEY 07059-6747

HEROLD LAW

PROFESSIONAL ASSOCIATION
ATTORNEYS AT LAW

TEL 908 • 647 • 1022

FAX 908 • 647 • 7721

www.heroldlaw.com

Telephone: (908) 647-1022
rsimon@heroldlaw.com

December 19, 2019

VIA HAND DELIVERY

Mr. Hector Olmo, Borough Administrator
Borough of Wallington
24 Union Boulevard
Wallington, New Jersey 07057

***Re: Borough of Wallington
Request for Proposal
Professional Services – Outside Legal Services (Special Land Use Counsel)
Contract Term: 1/1/20 through 12/31/20***

COVER LETTER

Dear Mr. Olmo:

In accordance with the Request for Proposal by the Borough of Wallington for Professional Services – Outside Legal Services, I wish to be considered for approval to continue to provide such Professional Services for Special Land Use Counsel, which position I have been holding since June, 2018.

In support of my Response to that Request for Proposal, and in accordance with the requirements of the Request for Proposal, I am attaching an original and two bound submissions, consisting of the following:

Exhibit 1	Checklist of Practice Areas
Exhibit 2	Qualifications Statement Sections 1 and 2
Exhibit 3	Executive Summary
Exhibit 4	Appendix A - Letter of Intent
Exhibit 5	Appendix B – Certifications
Exhibit 6	Qualifications Statement Section 3
Exhibit 7	Resume of Robert F. Simon, Esq.
Exhibit 8	Declarations Pages for Malpractice and Excess Insurance
Exhibit 9	Ownership Disclosure Certification
Exhibit 10	Disclosure Statement re: Family Members

Mr. Hector Olmo, Borough Administrator
Borough of Wallington
December 19, 2019
Page 2

Exhibit 11 Disclosure of Investment Activities in Iran
Exhibit 12 Business Registration Certificate

Thank you for your consideration.

Very truly yours,



ROBERT F. SIMON

RFS/jmc
Enclosures

LIST OF PRACTICE AREAS
REQUEST FOR PROPOSALS OF
QUALIFICATIONS FOR
Outside Legal Services for the 2020
Calendar Year

	(X) Please indicate the services RFPQ submission applies to:	
1		Borough Attorney
2		Labor/Employment Counsel
3		Appellate Practice (Federal, Superior Court, Civil Appeals)
4		Board of Health Counsel
5		Rent Control Board Counsel
6		Bond Counsel
7		Prosecutor
8		Public Defender
9		Redevelopment Counsel
10		Planning Board Attorney
11		Zoning Board Attorney
12	X	Special Counsel (Land Use)
13		Tax Appeals
14		Alternate Prosecutor
15		Alternate Public Defender
16		Alternate Borough Counsel
17		Conflicts Counsel
18		Special Litigation Counsel
19		Council on Affordable Housing Counsel
20		Hearing Officer
21		Affordable Housing Attorney
22		Special Investigation Counsel
23		Human Resources Investigation Counsel
24		
25		
26		
27		
28		
29		

BOROUGH OF WALLINGTON
QUALIFICATION STATEMENT

ROBERT F. SIMON, ESQ.
HEROLD LAW. P.A.

SECTIONS 1 and 2

1.1. Procurement Process and Schedule

I, Robert F. Simon, of Herold Law, will be responsible for the provision of Special Land Use Counsel services, and will be the contact person for the Firm. I have been acting as Special Land Use Counsel for the Borough of Wallington since June of 2018, and submit this Qualification Statement to continue to act in that capacity for Calendar Year 2020.

I have met the minimum professional, administrative, and financial criteria described in this RFQ. Please see detailed information in this regard, at Section 3.3, 1 and 2, below. Please also see my complete professional Resume attached to this submission as Exhibit 7.

I will be available to attend all Council meetings on the designated meeting dates. I have an experienced real estate paralegal and secretary, who will assist with all administrative matters, including communications and the drafting of correspondence and ordinances.

SECTION 1

This Response to Request for Qualifications is being submitted within the time specified in the Request for Proposals.

1.2 Conditions Applicable to RFQ

The Respondent acknowledges and consents to the conditions relative to the submission and review and consideration of its qualification Statement, as enumerated in this Section.

1.3 Rights of the Borough of Wallington.

The Respondent acknowledges and agrees with the Rights of the Borough of Wallington as enumerated in this Section.

1.4 Addenda or Amendments to the RFQ

The Respondent understands that it is solely its obligation to review the Borough of Wallington's website to ascertain if there are any addenda or notices by the Borough and to include responses to same in its submission.

1.5 Cost of Preparation

The Respondent understands and agrees that its Qualification Statement and all information submitted therewith, is prepared at its sole expense.

1.6 Format

The Respondent understands and agrees that it shall cover all information requested in this Request for Proposals in its Response.

SECTION 2 COSTS

2.1

Respondent agrees to continue to undertake the legal representation sought by the Borough of Wallington at the reduced hourly rate of \$220.00 for Robert F. Simon, as previously approved in Resolution No. 2018-182, and which remains in existence at this time.

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WARREN, NEW JERSEY 07059-6747

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December 19, 2019

VIA HAND DELIVERY

Mr. Hector Olmo, Borough Administrator
Borough of Wallington
24 Union Boulevard
Wallington, New Jersey 07057

***Re: Borough of Wallington
Request for Proposal
Professional Services – Outside Legal Services
Contract Term: 1/1/20 through 12/31/20***

EXECUTIVE SUMMARY

Dear Mr. Olmo:

I am a member of the Firm of Herold Law, P.A., and submit for consideration the enclosed Response to a Request for Proposal of Qualifications issued by the Borough of Wallington, for Outside Legal Services.

I have served as Special Land Use Counsel for the Borough of Wallington since June 2018, and submit this Response to be considered for approval to continue to provide such Professional Services for Special Land Use Counsel during 2020.

I have the experience and the skills to meet or exceed the minimum professional, administrative, and financial criteria described in the Request for Proposals of the Borough of Wallington. I have more than the required years of experience as a Land Use Attorney to be designated as a Qualified Respondent and to be given the opportunity to again enter into a contract proposal with the Borough of Wallington as Special Land Use Counsel.

I will be the key contact person for this Response to the Request for Proposal and I am available at the office main number of 908-647-1022, and can also be reached via email at rsimon@heroldlaw.com, and cell at 908-334-3927.

I am the Co-Managing Director of Herold Law (“the Firm”). The Firm has been in existence since 1980, but has been named Herold Law, P.A. since April of 2009, when it changed its name from Herold and Haines, P.A. The Firm has four Directors who annually elect among themselves two Co-Managing Directors. The Firm is a corporation, currently consisting of eight

Mr. Hector Olmo, Borough Administrator
Borough of Wallington
December 19, 2019
Page 2

shareholders. (A listing of all Shareholders/Principals is attached to this Response at Exhibit 9.) As one of the two Co-Managing Directors, I am charged with the management of the Firm and the ultimate control of the Board of Directors. A variation of this form of governance has been in existence for the past twenty years.

All of the Firm's attorneys are licensed and in good standing in the State of New Jersey (except for an associate in the Florida office who is admitted in Florida only), and a number of attorneys are licensed in other states in addition to New Jersey.

Each of the Firm's attorneys carries professional liability insurance, as demonstrated and reflected in the attached Declaration of Lawyers Professional Liability Policy issued by CNA, effective 11/17/19 and the Declaration of Excess Liability Policy issued by Allied World, effective 11/17/19.

The Firm has had no judgments against it in which the Firm has been adjudicated liable for professional malpractice within the past three (3) years.

The Firm is not now, nor has it been, involved in any bankruptcy or re-organization proceedings in the last ten (10) years.

The Firm has attached at Exhibit 12 its current Business Registration Certificate.

Thank you for your consideration of our Response to Request for Qualifications.

Very truly yours,

A handwritten signature in black ink, appearing to read 'R. Simon', with a long horizontal flourish extending to the right.

ROBERT F. SIMON

RFS: jmc
Enclosures

APPENDIX A - LETTER OF INTENT

Respondent's Federal EIN: 22-2722238

Borough of Wallington
24 Union Boulevard
Wallington, NJ 07057

Attn: Mr. Hector Olmo, Borough Administrator

Re: RFQ 20-001
Outside Legal Services – 2020
(Special Land Use Counsel)

Dear Mr. Olmo:

The undersigned, as Respondent, has submitted the attached Proposal of Qualification Statement in response to a Request for Proposal of Qualifications (RFQ), issued by the Borough of Wallington ("Borough"), dated November 26, 2019, in connection with the services set forth above.

HEROLD LAW, P.A. HEREBY STATES:

1. The Qualification Statement contains accurate, factual and complete information. We affirm that the contents of our Proposal of Qualification Statement (which Qualification Statement is incorporated herein by reference) are accurate, factual and complete to the best of our knowledge and belief and that the Qualification Statement is submitted in good faith upon express understanding that any false statement may result in the disqualification of the undersigned Respondent.
2. The undersigned Respondent is interested in being invited to respond to the Borough of Wallington Request for Proposals of Qualifications (RFQ). It is the intent of Respondent, to complete and submit a Qualification Statement for the provision of the services set forth above and to enter into a contract for said services if selected and awarded a contract by the Mayor and Council of the Borough of Wallington.
3. The undersigned Respondent agrees to participate in good faith in the procurement process as described in the RFQ and to adhere to the Borough of Wallington Procurement schedule.

4. The undersigned Respondent agrees that all costs incurred by it (them) in connection with the preparation and submission of the Proposal of Qualification statement submitted in response to the RFQ or any negotiation which results therefrom shall be borne exclusively by the Respondent.

5. The undersigned Respondent hereby declares that the only persons participating in this Proposal of Qualification Statements as Principals are named herein and that no person other than those herein mentioned has any participation in this Proposal of Qualification Statement or in any contract to be entered with respect thereto. Additional person may subsequently be including as participating principals, but only if acceptable to the Borough of Wallington. The undersigned Respondent further declares that this Proposal of Qualification Statement is made without connection with any other person, firm or parties who has submitted a Proposal of Qualification Statement, except as expressly set forth below and that it has been prepared and has been submitted in good faith and without collusion or fraud.

6. The undersigned Respondent acknowledges and agrees that the Borough of Wallington may modify, amend, suspend and/or terminate the procurement process (in its sole judgment). In any case, the Borough of Wallington shall not have any liability to the Respondent for any costs incurred by the Respondent with respect to the procurement activities described in this RFQ.

7. The undersigned Respondent acknowledges that any contract executed with respect to the provision of the above referenced services must comply with all applicable affirmative action and similar laws. Respondent hereby agrees to take such actions as are required in order to comply with such applicable laws.

8. The undersigned Respondent acknowledges and agrees that it will be obligated to satisfy the requirements set forth in Section Two of this RFQ at the time of submission of its Proposal of Qualification Statement. The Respondent hereby states that (as of the date hereof) it has a reasonable expectation that it will be able to satisfy such criteria and requirements as of the date of its submittal.

HEROLD LAW, P.A.

By: 
Robert F. Simon, Vice President and Ass't. Secretary

December 19, 2019

APPENDIX B
CERTIFICATIONS

In addition to responding to the foregoing items, the undersigned Respondent certifies that:

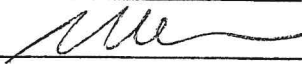
A. The firm's participation in the matters contemplated by this RFQ will not create any conflict of interest for the firm or any of its officers or employees.

B. The firm is an equal opportunity employer and does not discriminate against applicants or employees on the basis of race, color, religion, sex, age, disability, national origin, or ancestry.

C. The firm is not currently in violation of or under any investigation or review for a violation of any state or federal law or regulation that might have a material adverse impact on the firm's ability to serve if selected.

D. The firm understands and agrees that during the time it is a member of the group of qualified firms it will not be eligible to serve as financial advisor to the Borough of Wallington, and that it does not, and will not during that time, have a financial interest in any firm providing financial advisory services to the Borough of Wallington.

Firm: Herold Law, P.A.

By: 

Name Robert F. Simon

Title: Vice President & Ass't. Secretary

December 19, 2019

BOROUGH OF WALLINGTON

QUALIFICATION STATEMENT

**ROBERT F. SIMON, ESQ.
HEROLD LAW. P.A.**

SECTION 3

SUBMISSION REQUIREMENTS

3.1 General Requirements

I have the experience and the skills necessary to meet or exceed the minimum professional, administrative, and financial criteria required by the Borough of Wallington, and have indeed acted in a professional capacity with the Borough since 2018.

3.2 Administrative Information Requirements

The Respondent has, as part of its Proposal of Qualification Statement, provided all items required by this section, as attachments or as part of its Executive Summary. (See Exhibit 3)

3.3 Professional Information Requirements

1 and 2:

This Qualification Submission is submitted by Robert F. Simon, Esquire, of Herold Law, P.A., in response to the Request for Proposal from the Borough of Wallington, for consideration to have Mr. Simon continue as Special Land Use Counsel for the year 2020.

Mr. Simon has the experience and expertise to provide legal counsel and advice as required by the Borough of Wallington, and can, in general, serve as the legal advisor to the Borough, and more specifically:

Providing advice to the Wallington Mayor and Council with respect to land use matters and serving as co-counsel with the Borough Attorney in the Affordable Housing litigation matter pending in the Superior Court of New Jersey, Bergen County, Docket No. BER-L-6285-15.

I have in excess of 25 years of experience serving as a Land Use attorney, and have experience with all facets of the Municipal Land Use Law (MLUL); Fair Share Housing obligations; litigation involving public entities in connection with land use; and related environmental issues. I have the experience and the skills necessary to meet or exceed the

minimum professional, administrative, and financial criteria required by the Borough of Wallington, and have indeed acted in a professional capacity with the Borough since 2018.

I focus my practice on a broad range of real estate matters including commercial and residential transactions and litigation, land use regulation, redevelopment law, leasing, financing, property tax appeals, title disputes, condemnation, construction lien, and condominium matters. I also represent clients in a variety of business transactions including asset and stock transfers.

I am currently serving as Special Counsel for Land Use Matters for the Borough of Wallington. I also presently serve as Land Use Board Attorney for the Township of Tewksbury. I have served as a Member, Vice-Chair, and Chair of the Peapack Gladstone Land Use Board, and have served as Substitute Counsel representing Land Use Boards where the Board attorney had a conflict or was otherwise unavailable. I regularly appear before Planning Boards and Boards of Adjustment throughout the State of New Jersey, and have been retained as an expert witness for land use and real estate litigation matters. I also have litigated land use matters before the Superior Court of New Jersey (Law and Appellate Divisions).

I have been qualified as an expert witness for real estate matters by the New Jersey Superior Court and the United States District Court, District of New Jersey.

I am currently a member of the Board of Directors of the New Jersey State Bar Association's Land Use Law Section, and have served through the Office of Attorney Ethics as Chairman of the District XII (Hunterdon, Somerset and Warren Counties) Fee Arbitration Committee, and as Panel Chair on the District V-B Fee Arbitration Committee. I have been appointed on numerous occasions by the Superior Court to serve in Guardianship and Special Medical Guardianship proceedings, and have served as an attorney trustee for disbarment matters, and as a Condemnation Commissioner.

REFERENCES:

Daniel S. Bernstein, Esq.
908-789-8350
dansbernstein@yahoo.com

Peter J. Wolfson, Esq.
973- 966-8298
pwolfson@daypitney.com

John J. DeLaney, Esq.
908-301-5644
jdelaney@lindabury.com

Richard S. Cedzidlo, Esq.
973- 472-1133
rcedzesq@aol.com

Jonathan E. Drill, Esq.
973-239-8800
jdrill@sksdllaw.com

3. Robert F. Simon's professional resume is attached to this Submission. (See Exhibit 7)

4. Respondent confirms that it has polled all owners of its corporation and determined that no one has any immediate relatives who are Borough employees or elected officials of the Borough.

5. Respondent has in place and will continue to maintain during the period of engagement with the Borough, malpractice insurance exceeding the amount required. (See Exhibit 8)

3.4 Other Terms and Conditions

A. Non-Discrimination

It is understood and agreed by the submitter of this Qualification Statement that there will be no discrimination against anyone engaged in the work required to produce the commodities covered by any contract resulting from this application.

B. Statement of Corporate Ownership

Accompanying this Qualification Statement and Response to Request for Proposals there is submitted to the Borough of Wallington a statement setting forth the names and addresses of all stockholders who own 10% or more of the stock of the corporation making this Submission. (See Exhibit 9)

C. Ownership of Material

- a. and b.** It is understood that the Borough shall retain all its rights and interest in any and all documents and property furnished by them to the submitter for the purpose of assisting in the performance of this contract.



Robert F. Simon

Shareholder

908-647-1022 x113 **Phone**

rsimon@heroldlaw.com

Robert Simon is a co-Managing Director of the Firm. His practice includes land use regulation before local zoning and planning boards; real estate-related litigation including land use, tenancy, tax appeal, condemnation, and title actions; and commercial and residential real estate transactions.

Mr. Simon is currently a member of the Board of Directors of the Land Use Section of the New Jersey State Bar Association; Board Attorney for the Township of Tewksbury Land Use Board; and Special Land Use Counsel for the Borough of Wallington as to Affordable Housing litigation.

He has previously served as District Chair for the Office of Attorney Ethics, District XIII Fee Arbitration Committee; Panel Chair, District V-B Fee Arbitration Committee; and Chairman, Borough of Peapack-Gladstone Land Use Board. He has participated as a panelist at land use seminars sponsored by ICLE and Lorman Education Services. Mr. Simon has also been qualified as an expert witness in real estate litigation matters by the Superior Court and District Court of New Jersey.

Mr. Simon received his B.A from The Johns Hopkins University, Baltimore, Maryland and his J.D. from Yeshiva University, Benjamin N. Cardozo School of Law, New York, New York, where he served as a member of the Editorial Board of the *New Europe Law Review*. He was a judicial law clerk to the Honorable R. Benjamin Cohen, J.S.C.



**LAWYERS PROFESSIONAL LIABILITY POLICY
DECLARATIONS – NEW JERSEY**

Agency:
719383

Branch:
912

Policy Number:
596397241

Insurance is provided by The Continental Insurance Company
of New Jersey,
4 Century Dr. Parsippany, NJ 07054
A Stock Insurance Company.

1. **NAMED INSURED AND MAILING ADDRESS:**
Herold Law, PA
25 Independence Blvd
Warren, NJ 07059

NOTICE TO POLICYHOLDERS:

This is a Claims Made and Reported policy. It applies only to those claims that are both first made against the insured and reported in writing to the Company during the policy period. Please review the policy carefully and discuss this coverage with your insurance agent or broker.

2. **POLICY PERIOD:**

Inception: 11/17/2019
at 12:01 A.M. Standard Time at the address shown above

Expiration: 11/17/2020

3. **LIMITS OF LIABILITY:**

Each Claim: \$5,000,000
Aggregate: \$5,000,000

Death or Disability and Non-Practicing
Extended Reporting Period Limit of Liability:

Each Claim: \$1,000,000
Aggregate: \$2,000,000

4. **DEDUCTIBLES:**

Aggregate: \$25,000

5. **POLICY PREMIUM:**

Annual Premium: \$121,009.00

Surcharges/Taxes:

New Jersey Property & Liability Insurance Guaranty Association \$641.35

Total Amount Due: \$121,650.35

Includes CNA Risk Control Credit of \$-7,362.00

6. **FORMS AND ENDORSEMENTS ATTACHED AT INCEPTION:**

G-118011-NJ (Ed. 01/2018), G-118012-A29C (Ed. 04/2001), CNA-83119-NJ (Ed. 08/2015), G-118019-A (Ed. 12/2011), G-118022-AC (Ed. 12/2011), G-118024-A (Ed. 04/2008), G-118039-A29 (Ed. 07/2008), G-118064-A29 (Ed. 05/1997)

7. **WHO TO CONTACT:**

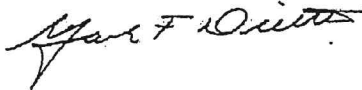
To report a claim:
CNA – Claims Reporting
P.O. Box 8317
Chicago, IL 60680-8317

Fax: 866-773-7504 / Online: www.cna.com/claims
Email: SpecialtyProNewLoss@cna.com
Lawyers Claim Reporting Questions: 800-540-0762

Countersignature

Date

Authorized Representative


11/07/2019
Date



Continental Insurance Company of New Jersey
151 North Franklin Street
Chicago, IL -60606

LAWYERS PROFESSIONAL LIABILITY POLICY

ATTORNEY SCHEDULE

Policy Number: 596397241

Name of Each Lawyer

Anthony J. Reitano
Arjun Shah
Brian S. Baum
Cara A. Murphy
Craig S. Provorny
Frank T. Araps
George W. Crimmins III
Joseph M. Lemond
Kevin J. O'Donnell
Lauren A. Vasquez
Michael J. Faul, Jr.
Monica P. Schroeck
Raymond R. Siberine
Robert F. Simon
Robert J. Donaher
Robert Stephen Schwartz
Robert W. Bacso



ALLIED WORLD SPECIALTY INSURANCE COMPANY

EXCESS LIABILITY INSURANCE POLICY

POLICY NUMBER: 0307-9746

RENEWAL OF: 0307-9746

NOTICE: EXCEPT AS MAY OTHERWISE BE PROVIDED HEREIN, THE COVERAGE AFFORDED BY THIS POLICY APPLIES ONLY TO CLAIMS FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD AND REPORTED IN WRITING TO THE INSURER PURSUANT TO THE TERMS HEREIN. PLEASE READ THE POLICY CAREFULLY AND DISCUSS THE COVERAGE THEREUNDER WITH YOUR INSURANCE AGENT OR BROKER.

NOTICE: THE LIMIT OF LIABILITY AVAILABLE TO PAY JUDGMENTS OR SETTLEMENTS SHALL BE REDUCED BY THE PAYMENT OF DEFENSE COSTS.

NOTICE: THE INSURER DOES NOT ASSUME ANY DUTY TO DEFEND.

DECLARATIONS

ITEM 1: NAMED INSURED: Herold Law, P.A.
ADDRESS: 25 Independence Boulevard
Warren, NJ 07059

ITEM 2: POLICY PERIOD: From: November 17, 2019 To: November 17, 2020
(12:01 a.m. standard time at the address stated in Item 1)

ITEM 3: LIMIT OF LIABILITY: \$5,000,000
aggregate for all coverages combined (including Defense Costs)

EXCESS OF TOTAL \$5,000,000
UNDERLYING LIMITS OF:

ITEM 4: SCHEDULE OF FOLLOWED POLICY AND OTHER UNDERLYING POLICIES:

Followed Policy:

Insurer	Policy Number	Policy Period	Limits	Primary Policy
Continental Insurance Company of New Jersey	596397241	November 17, 2019 to November 17, 2020	\$5,000,000	☒

Underlying Policy(ies):

Insurer	Policy Number	Policy Period	Limits	Primary Policy
N/A	N/A	N/A	N/A	

ITEM 5: PENDING OR PRIOR DATE: N/A

ITEM 6: PREMIUM: \$33,808.00

ITEM 7: DISCOVERY PERIOD/EXTENDED REPORTING PERIOD:

A. PREMIUM: N/A of the premium set forth in Item 6 above

B. TERM: N/A months

ITEM 8: ADDRESS OF INSURER FOR ALL NOTICES UNDER THIS POLICY:

A. Claim-Related Notices:

E-mail: noticeofloss@awac.com

ALLIED WORLD SPECIALTY INSURANCE COMPANY
ATTN: CLAIMS DEPARTMENT
1690 NEW BRITAIN AVE., SUITE 101
FARMINGTON, CT 06032

B. All Other Notices:

ALLIED WORLD SPECIALTY INSURANCE COMPANY
ATTN: PROFESSIONAL LIABILITY UNDERWRITING
199 WATER STREET
NEW YORK, NY 10038

IN WITNESS WHEREOF, the Insurer has caused this Policy to be executed and attested, but this Policy shall not be valid unless countersigned by a duly authorized representative of the Insurer.



President



Secretary



AUTHORIZED REPRESENTATIVE

OWNERSHIP DISCLOSURE CERTIFICATION

This Statement Shall Be Included with Bid Submission

Name of Business Herold Law, P.A.

1 certify that the list below contains the names and home addresses of all stockholders holding 10% or more of the issued and outstanding stock of the undersigned. However if a parent entity holding 10% or more is a publicly traded entity, then the respondent in complying with N.J.S.A. 52:25-24.2 may submit the name and address of each publicly traded entity, and the name and address of each person holding 10% or more beneficial interest in the publicly' traded entity as of the last the last annual filling with the Security Exchange Commission (SEC), or foreign equivalent.

OR

1 certify that no one stockholder owns 10% or more of the issued and outstanding stock of the undersigned.

Check the box that represents the type of business organization:

 Partnership X Corporation Sole Proprietorship Limited Partnership
 Limited Liability Corporation Limited Liability Partnership Other

Sign and notarize the form below, and, if necessary, complete the stockholder list below.

Stockholders: See Attached

Name: _____

Name: _____

Home Address: _____

Home Address: _____

Name: _____

Name: _____

Home Address: _____

Home Address: _____

Subscribed and sworn before me this 19th day of
December, 2019

(Notary Public)


JEAN M. CADMAN
A Notary Public of New Jersey
My Commission Expires 11/1/2020

(Corporate Seal)


(Affiant)

Robert F. Simon, Vice President &
Ass't. Secretary

(Print name & title of affiant)

**OWNERSHIP DISCLOSURE CERTIFICATION
ADDENDUM**

HEROLD LAW, P.A.

SHAREHOLDERS:

Name	Address
Robert W. Bacso	580 Brunswick Pike, Lambertville, New Jersey 08530
Michael J. Faul, Jr.	204 Minebrook Road, Far Hills, New Jersey 07931
Joseph M. Lemond	2 Trails End Court, Warren, new Jersey 07059
Craig S. Provorny	360 Herrontown Road, Princeton, New Jersey 08540
Anthony J. Reitano	3 Carriage Hill, Far Hills, New Jersey 07931
Robert S. Schwartz	2290 Hill Road, Scotch Plains, NJ 07076
Raymond R. Siberine	324 Maple Street, New Providence, New Jersey 07974
Robert F. Simon	7 Pfizer Drive, Gladstone, New Jersey 07934

DISCLOSURE STATEMENT

The attention of prospective bidders is drawn to the provisions of the Local Government Ethics Law (N.J.S.A. 40A:9-22-1, et seq.) which prohibits a Borough Officer or employee or member of his/her immediate family from having an interest in a business organization or engaging in any business transaction, or professional activity which is in substantial conflict with the proper discharge of his duties in the public interest.

In furtherance thereof, every bidder must disclose below, being a Wallington officer or employee or whether an immediate family member is a Wallington officer or employee. If the bidder is a business organization, then disclosure shall be made with respect to anyone having an interest in the business and their immediate family members.

Please answer the following:

Is the bidder, or a member of the bidder's immediate family, or anyone having an interest in the bidder's business organization including their immediate family members, an officer or employee of Borough of Wallington

NO X YES

* President, Vice President or Signature of
Authorized Representative

Robert F. Simon
Print Name

Vice President & Ass't. Secretary
Title

If yes, provide the name of the individual and identify the position held, below, and notify in writing the Office of the Borough Administrator, 24 Union Boulevard, Wallington, NJ 07057. (Attach a copy of the correspondence to this form).

NOTE: All terms used herein are to be construed in accordance with their meaning under the Local Government Ethics Law, cited above.

*** FAILURE TO SIGN THIS AFFIDAVIT BY A DULY AUTHORIZED COMPANY OFFICIAL MAY
RESULT IN REJECTION OF THIS PROPOSAL.**

Disclosure of Investment Activities in IRAN

BID/RFP/Solicitation Number: RFQ - 20-001

Bidder/Offeror: Herold Law, P.A.

Part 1: Certification

BIDDERS ARE TO COMPLETE PART 1 BY CHECKING EITHER BOX.

Pursuant to Public Law 2012, c. 25, any person or entity that submits a bid or proposal or otherwise proposes to enter into or renew a contract must complete the certification below to attest, under penalty of perjury, that neither the person or entity, nor any of its parents, subsidiaries, or affiliates, is identified on the Department of Treasury's Chapter 25 list as a person or entity engaging in investment activities in Iran. The Chapter 25 list is found on the Division's website at <http://www.state.nj.us/treasury/purchase/pdf/Chapter25List.pdf>. Bidders must review this list prior to completing the below certification. Failure to complete the certification will render a bidder's proposal non-responsive. If the Director finds a person or entity to be in violation of law, s/he shall take action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party

PLEASE CHECK THE APPROPRIATE BOX:

☒

I certify, pursuant to Public Law 012. c. 25, that neither the bidder listed above nor any of the bidder's parents, subsidiaries, or affiliates is listed on the N.J. Department of the Treasury's list of entities determined to be engaged in prohibited activities in Iran

pursuant to P.L. 2012. c. 25 ("Chapter 25 List"). I further certify that I am the person listed above. or I am an officer or representative of the entity listed above and am authorized to make this certification on its behalf. I will skip Part 2 and sign and complete the Certification below.

OR

☐

I am unable to certify as above because the bidder and/or one or more of its parents, subsidiaries, or affiliates is listed on the Department's Chapter 25 list. I will provide a detailed, accurate and precise description of the activities in Part 2 below and sign and complete the Certification below.

Part 2: PLEASE PROVIDE FURTHER INFORMATION RELATED TO INVESTMENT ACTIVITIES IN IRAN

You must provide a detailed, accurate and precise description of the activities of the bidding person/entity, or one of its parents, subsidiaries or affiliates, engaging in the investment activities in Iran on additional sheets provided by you.

Certification: I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I attest that I am authorized to execute this certification on behalf of the above-referenced person or entity. I acknowledge that the State of New Jersey is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the State to notify the State in writing of any changes to the answers of information contained herein. I acknowledge that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I recognize that I am subject to criminal prosecution under the law and that it will also constitute a material breach of my agreement(s) with the State of New Jersey and that the State at its option may declare any contract(s) resulting from this certification void and unenforceable.

Full Name: (Print): Robert F. Simon

Signature: 

Title: Vice President & Ass't. Secretary

Date: December 19, 2019



STATE OF NEW JERSEY BUSINESS REGISTRATION CERTIFICATE

Taxpayer Name:

HEROLD LAW, PROFESSIONAL ASSOCIATION

Trade Name:**Address:**25 INDEPENDENCE BLVD
WARREN, NJ 07059-6747**Certificate Number:**

0074270

Effective Date:

August 18, 1986

Date of Issuance:

May 04, 2016

For Office Use Only:

2016050410430525